JC09 Rec'd PCT/PTO 537868

Form PTO-1449 (Modified) Atty Docket No. Express Mail No. OKUDP0116US EV671713363US LIST OF PATENTS AND PUBLICATIONS Applicant: FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT Yoshiaki HASEGAWA et al. Filing Date Group 2814 (Use several sheets if necessary) June 7, 2005 Unknown.

U.S. PATENT DOCUMENTS

| Examiner Initial | Document Number | Date | Name | Class | Sub- class | Filing Date if Appropriate |
|---------------------|--|------------|-----------------|-------|---------------|-------------------------------|
| JCI | 6,522,676 B1 (corresponds to JP 2000-286504 A) | 02/18/2003 | Goto et al. | | | |
| JCI | 6,348,096 B1 | 02/19/2002 | Sunakawa et al. | | | |

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| Examiner Initial | Document Number | Date | Country | Class | Sub- | Translation | |
|---------------------|-----------------|------------|---------|-------|------|-------------|-----|
| ពេះបន្ទា | | | class | Yes | No | | |
| JCI | 2000-286504 A | 13/10/2000 | JP | | | Х | |
| JCI | 10-233547 | 02/09/1998 | JP | | | Abstr | act |
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| JCI | 10-0256647 | 25/09/1998 | JP | | | Abstr | act |
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| JCI | 05-121839 | 18/05/1993 | JP | | | Abstr | act |
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| JCI | 08-064791 | 08/03/1996 | JP | | | Abstr | act |

OTHER ART

| Examiner Initial | Author, Title, Date, Pertinent Pages, etc. |
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| JCI | International Search Report for corresponding PCT/JP2004/004974, mailed July 27, 2004. |
| JCI | "InGaN Multiquantum-Well-Structure Laser Diodes with GaN-AlGaN Modulation-Doped Strained- Layer Superlattices", Shuji Nakamura, IEEE Journal of Selected Topics in Quantum Electronics, Vol. 4, No.3 May/June 1998, pp. 483-489 (cited in line 9, page 3 of the description). |
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| JCI | "Novel Ridge-Type InGaN Multiple-Quantum-Well Laser Diodes Fabricated by Selective Area Re- Growth on n-GaN Substrates" Masaru Kuramoto et al., Japanese Journal of Applied Physics, Vol. 40 (2001), pp. L925-L927 (cited in line 8, page 4 of the description). |

| EXAMINER | /John Ingham/ | DATE CONSIDERED | 09/26/2006 |
|----------|---------------|-----------------|------------|

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Information Disclosure Statement PTO-1449 (Modified)

The identification of any reference is not intended to be, and should not be understood as being, an admission that such publication, in fact, constitutes "prior art" within the meaning of applicable law since, for example, a given reference may have a later effective date than first seems apparent or the reference may have an effective date which can be antedated. The "prior art" status of any reference is a matter to be resolved during prosecution.

Sheet 1

| Form PTO-1449 (Modified) | Atty Docket No. | Serial No. | |
|--|-------------------------------------|--------------------------------|--|
| LIST OF PATENTS AND PUBLICATIONS | OKUDP0116US 10/537,868 | | |
| FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT | Applicant: Yoshiaki HASEGAWA et al. | | |
| (Use several sheets if necessary) | Filing Date June 7, 2005 | Group 2 875 2814 | |

U.S. PATENT DOCUMENTS

| Examiner Initial | Document Number | Date | Name | Class | Sub- class | Filing Date if Appropriate |
|---------------------|-----------------|---------|-------------|-------|---------------|-------------------------------|
| JCI | 6,522,676 B1 | 02/2003 | Goto et al. | | | |
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FOREIGN PATENT DOCUMENTS

| Examiner Initial | Document Number | Date | Country | Class | Sub- class | Translation | |
|---------------------|-----------------|---------|---------|-------|---------------|-------------|--|
| | | | | | | Yes No | |
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OTHER ART

| Examiner Initial | Author, Title, Date, Pertinent Pages, etc. |
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| JCI | European Search Report for corresponding Application No. 04725998.1, dated March 17, 2006. |
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| EXAMINER | /John Ingham/ | DATE CONSIDERED | 09/26/2006 |
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